IBM

Office of Counsel, Intellectual Property Law
3605 Hwy. 52 North, 917/006-1
Rochester, Minnesota 55901
Phone 507-253-5334 te 553-5334
Fax 507-253-2382 te 553-2382
E-mail | lplank@us.ibm.com | lbmusm07(fptank)

Facsimile Transmission

October <u>a6</u>, 2004

4 pages (including this sheet)

Please confirm receipt CENTRAL FAX CENTER

NOTICE

OCT 2 6 2004

This communication is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If you are neither the intended recipient, nor the employee or agent responsible for delivering the communication to the intended recipient, you are hereby notified that any disclosure, dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original communication to us at the address above via the U.S. Postal Service. Thank you. IBM CONFIDENTIAL PREPARED BY IBM ATTORNEY - PRIVILEGE REVIEW REQUIRED PERSONAL OR PERSONNEL-RELATED Voice Name IBM Rochester IPLaw 507-253-4660 507-253-2382 From: Grant Johnson 703-872-9306 USPTO To: Subject: Information Disclosure Statement Our Ref.: Serial Number 10/6/8, 410 / Docket Number ROC 920030/64USI

RECEIVED
CENTRAL FAX CENTER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 2 6 2004

In re application of:

Dettinger et al

Date: October 26, 2004

Group Art Unit:

2171

IBM Corporation

Examiner:

Unknown

Intellectual Property Law

Serial No.:

10/618,410

Dept. 917, Bldg. 006-1

Filed:

July 11, 2003

3605 Highway 52 North

Title: DATA QUERY SYSTEM LOAD

BALANCING

Rochester, MN 55901

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

Serial No. 10/618,410 Docket No. ROC920030164US1

- 1 -

37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being transmitted via facsimile to the United States Patent Office at facsimile number (703)872-9306

October 26, 2004 (Date of Deposit)

Susan K. Berge

Respectfully submitted,

DETTINGER ET AL

Grant A. Johnson

Attorney Reg. No. 42,696

IBM Corporation - Department 917

3605 Highway 52 North

Rochester, Minnesota 55901-7829

Telephone: 1-507-253-4660

PTO/SB/08A	Application Number.: Filing Date:	10/618,410 July 11, 2003	
INFORMATION DISCLOSURE	First Named Inventor:	Dettinger	
STATEMENT BY APPLICANT	Art Unit: Examiner Name:	2171 Unknown	
Sheet 1 of 1	Attorney Docket Number.:	ROC920030164US1	

U.S. PATENT DOCUMENTS

		0.5.	FATERI DOCUL	VALSIVICO	
Examiner Initials*	Cite No.	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 6.725,227	04-20-2004	Li	
		US -			
		US -			
		US -			<u></u>
		US -			ļ <u></u>
		US -		ļ	
		US -			
		US -			
· · · · ·		US -			
	_	US -			
	1	US -	1		

FOREIGN PATENT DOCUMENTS

			ENT DOCUM	21120		
Examiner Initials	Cite No.	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
. ,						<u> </u>
		,				<u> </u>
	<u> </u>					<u> </u>

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language translation is attached.